

Understanding the Fiduciary Rule

A Briefing for Employers



You're Invited:

Attend a Fiduciary Rule Briefing at one of our Informal Fridays. Business owners, CFOs, human resources professionals and anyone involved in the management of their organization's 401(k) plan will benefit from this briefing. Informal Fridays include a Complimentary Meal.

Time:

12:00 - 1:30 pm

Dates:

Friday, February 2, 2018
Friday, February 23, 2018
Friday, March 23, 2018

Location:

4008 Genesee Place, Suite 201
Prince William, VA 22192

To RSVP:

Call 703-878-9050
Or email 401k@alliantwealth.com

Please join us for a Fiduciary Rule Briefing

Employers Have New Responsibilities

The Department of Labor's Fiduciary Rule creates new responsibilities for employers who sponsor 401(k) and other defined contribution retirement plans. They must monitor plan investment advisors to ensure they are adhering to the "fiduciary standard" mandated by the Rule - making sure advisors put plan participants' "best interests" first as they recommend plan investments.

Understanding Your Responsibility, Attending The Fiduciary Rule Briefing

The new Fiduciary Rule is complex. There are important steps employers can take to demonstrate their compliance and mitigate risk. Our Fiduciary Briefing is designed to help business owners, CFOs and human resources professionals understand their obligations and comply with the new Fiduciary Rule.

Briefing attendees will learn:

- Who at their business is considered a fiduciary
- The fiduciary standard to which all plan advisors must now adhere
- How to determine if their advisor is acting according to the fiduciary standard
- How to document their determination

There will also be a discussion of plan fee payment methods and BICE, the Best Interest Contract Exemption to the Fiduciary Rule.

About The Speakers



John A. Frisch, CPA/PFS, CFP®, AIF®, PPC® has 30 years of experience in the financial services industry, with expertise in wealth management, investment management and fiduciary governance. He is an accredited investment fiduciary. John writes and lectures on a wide variety of financial topics. He is the president and founder of Alliant Wealth Advisors.



Laurie C. Wieder, PPC®, has 30 years of experience as a consultant, business owner and organization executive. She works with employers throughout northern Virginia to adopt innovative defined contribution retirement plans. Laurie is Alliant Wealth Advisors' Vice President, Qualified Plans.